

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

VM 7/1/00 #4

JCS11 U.S. PTO
09/535005
03/23/00

Applicant : William S. Bess, Neema Kulkarni, Suhas H. Ambike and Michael P. Ramsay

Serial No. : Not Assigned

Art Unit : Not Assigned

Filed : March 23, 2000

Examiner : Not Assigned

For : FAST DISSOLVING ORALLY CONSUMABLE FILMS CONTAINING AN ION EXCHANGE AS A TASTE MASKING AGENT

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Applicants are aware of sales in Japan of rapidly dissolving films believed to be made from pullulan under the commercial names of "Herbleaf" and possibly others, more than one year prior to the filing date of this application. Applicants are not aware of the exact composition of these films but are aware that these were used for breath freshening.

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.10

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date in an envelope bearing "Express Mail Post Office to Addressee" Mailing Number EK603101628US addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Mary M Helmken

(Typed or printed name of person mailing)

(Signature of person mailing papers, Date)

Mary M Helmken 3/23/00

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Respectfully submitted,



Evan J. Federman
Attorney for the Applicant
Reg. No. 37,060

Date: 3/23/00

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